

Audit and Governance Committee

Dorset County Council



Date of Meeting	20 September 2016
Officers	<u>Lead Cabinet Member</u> Robert Gould – Leader <u>Local Members</u> All Members <u>Lead Director</u> Debbie Ward, Chief Executive
Subject of Report	Progress on Matters Raised at Previous Meetings
Executive Summary	<p>This report records:-</p> <ul style="list-style-type: none">(a) Cabinet decisions arising from recommendations from Audit and Governance Committee meetings; and(b) Outstanding actions identified at the last meeting. <p>There has been 1 meeting of the Cabinet since the last Committee meeting and there were no variations to recommendations.</p>
Impact Assessment:	Equalities Impact Assessment: N/A
	<p>Use of Evidence: Information used to compile this report is drawn together from the Committee's recommendations made to the Cabinet, and arising from matters raised at previous meetings. Evidence of other decisions made by the Cabinet which have differed from recommendations will also be included in the report.</p>

Progress on Matters Raised at Previous Meetings

	<p>Budget: No VAT or other cost implications have been identified arising directly from this programme.</p>
	<p>Risk Assessment: Having considered the risks associated with this decision using the County Council's approved risk management methodology, the level of risk has been identified as: Current Risk: LOW Residual Risk: LOW</p>
	<p>Other Implications: None</p>
Recommendation	<p>That Members consider the matters set out in this report.</p>
Reason for Recommendation	<p>To support the Council's corporate aim to provide innovative and value for money services.</p>
Appendices	<p>None</p>
Background Papers	<p>None</p>
Report Originator and Contact	<p>Name: Denise Hunt, Senior Democratic Services Officer Tel: (01305) 224878 Email: d.hunt@dorsetcc.gov.uk</p>

Progress on Matters Raised at Previous Meetings

Date of Meeting	Note Number and subject reference	Action Required	Responsible Officer	Completed (incl comments)
8 June 2016	5 – Annual Audit Internal Report 2015/16	The results of the follow-up audit for country parks to be included in the SWAP quarterly report to be considered at the next meeting on 20 Sept 2016.	Rupert Bamberger	Completed – results of the follow up audit have been included in the SWAP quarterly report.
	6 - Internal Audit Plan 2016/17	The outcomes of the audit of the Joint Archives Service to be incorporated into the audit of governance / due diligence work of devolution bids, local government reorganisation and combined authority in 2016-17 and be also fed into the Healthy Organisation review.	Rupert Bamberger	Completed – outcomes of the Joint Archives Service audit will be fed into any relevant upcoming work. Furthermore, SWAP have prepared a document on Joint Working; the opportunities, risks and considerations. This will feature as an Agenda item for the September Audit & Governance Committee.
	9 – Bidding Procedure to Manage External Funding Activity	The external funding Annual report to be considered by the Committee in January 2017 to include examples of simple and complex bids and any lessons learned.	Chris Scally	A report to be submitted for consideration by the Audit and Governance Committee on 26 January 2017.
	10 – Draft 2015/16 Outturn and Financial Management Report	That a finance briefing report, including an overview of the previous 10 years' budget outcomes be prepared for the next meeting of the Overview and Scrutiny Management Board on 27 June 2016.	Richard Bates	Completed – the Board considered a financial outturn summary report and risks and implications for the future. It was agreed that future Audit and Governance Committee reports indicate the chairman of the appropriate overview and scrutiny committee, the lead officer and whether an area needed to be scrutinised.